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PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/090,320	
	Filing Date	March 1, 2002	
	First Named Inventor	Cao, et. al.	
	Group Art Unit	TBD	
	Examiner Name	TBD	
Total Number of Pages in This Submission	3	Attorney Docket Number	3446

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ENCLOSURES (check all that apply)		
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Remarks		Charge any additional fees required under 37 CFR 1.16 and 1.17 to Account No. 01-0431

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Sandra Wells, Reg. No.- 52,349
Signature	<i>Sandra Wells</i>
Date	12/9/02

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 12/9/02			
Typed or printed name	Lori Garcia		
Signature	<i>Lori Garcia</i>	Date	12/9/02

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APPLICATION**

Application Number	10/090,320
Filing Date	3/1/02
First Named Inventor	Cao, et. al.
Group Art Unit	TBD
Examiner Name	TBD
Attorney Docket Number	3446

I hereby appoint:

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22886

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Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

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I am the:

☐ Applicant.

☒ Assignee of record of the entire interest

Certificate under 37 CFR 3.73(b) is enclosed

**SIGNATURE of Applicant or Assignee of Record**

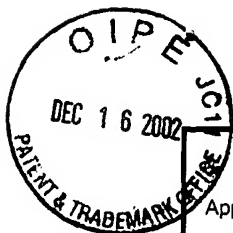
Name	Barbara A. Caulfield
Signature	<i>Barbara A. Caulfield</i>
Date	12/05/02

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Cao, et. al.

Application No./Patent No.: 10/090,320

Filed/Issue Date: March 1, 2002

Entitled: Methods for Gene Expression Analysis

Affymetrix, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 012879, Frame 0157, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

12/05/02  
Date

Barbara A. Caulfield  
Signature

Barbara A. Caulfield

Typed or printed name

**Exec. V.P. and General Counsel**

Title

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